

STATE OF WEST VIRGINIA DEPARTMENT OF HEALTH AND HUMAN RESOURCES OFFICE OF INSPECTOR GENERAL

Bill J. Crouch Cabinet Secretary BOARD OF REVIEW Raleigh County DHHR 407 Neville Street Beckley, WV 25801 Jolynn Marra Interim Inspector General

August 25, 2021

RE:

v. WV DHHR

ACTION NO.: 21-BOR-1804

Dear Mr.

. :

Enclosed is a copy of the decision resulting from the hearing held in the above-referenced matter.

In arriving at a decision, the State Hearing Officer is governed by the Public Welfare Laws of West Virginia and the rules and regulations established by the Department of Health and Human Resources. These same laws and regulations are used in all cases to assure that all persons are treated alike.

You will find attached an explanation of possible actions you may take if you disagree with the decision reached in this matter.

Sincerely,

Kristi Logan Certified State Hearing Officer Member, State Board of Review

Encl: Appellant's Recourse to Hearing Decision

Form IG-BR-29

cc: Bureau for Medical Services

PC&A

WEST VIRGINIA DEPARTMENT OF HEALTH AND HUMAN RESOURCES BOARD OF REVIEW



v. Action Number: 21-BOR-1804

WEST VIRGINIA DEPARTMENT OF HEALTH AND HUMAN RESOURCES,

Respondent.

DECISION OF STATE HEARING OFFICER

INTRODUCTION

This is the decision of the State Hearing Officer resulting from a fair hearing for This hearing was held in accordance with the provisions found in Chapter 700 of the West Virginia Department of Health and Human Resources' Common Chapters Manual. This fair hearing was convened on August 25, 2021, on an appeal filed July 20, 2021.

The matter before the Hearing Officer arises from the April 22, 2021, decision by the Respondent to deny medical eligibility for services under the I/DD Waiver Program.

At the hearing, the Respondent appeared by Keri Linton, consulting psychologist for the Bureau for Medical Services. The Appellant appeared *pro se*. Appearing as a witness for the Appellant was All witnesses were sworn and the following documents were admitted into evidence.

Department's Exhibits:

- D-1 Bureau for Medical Services Provider Manual §513.6
- D-2 Notice of Denial dated April 22, 2021
- D-3 Independent Psychological Evaluation dated March 31, 2021

Appellant's Exhibits:

None

After a review of the record, including testimony, exhibits, and stipulations admitted into evidence at the hearing, and after assessing the credibility of all witnesses and weighing the evidence in consideration of the same, the Hearing Officer sets forth the following Findings of Fact.

FINDINGS OF FACT

- 1) The Appellant applied for services under the I/DD Waiver Program.
- 2) An Independent Psychological Evaluation (IPE) was conducted with the Appellant on March 31, 2021 in conjunction with the I/DD Waiver Program application (Exhibit D-3).
- 3) The Appellant was diagnosed with Autism Spectrum Disorder, Level 1 (Exhibit D-3).
- 4) The Respondent issued a Notice of Denial on April 22, 2021, advising that the Appellant's application had been denied as the documentation did not indicate an eligible diagnosis of Intellectual Disability, or related condition (Exhibit D-2).

APPLICABLE POLICY

Bureau for Medical Services Provider Manual §513.6.2 states that to be eligible to receive I/DD Waiver Program Services, an applicant must meet the medical eligibility criteria in each of the following categories:

- Diagnosis;
- Functionality;
- Need for active treatment; and
- Requirement of ICF/IID Level of Care.

Diagnosis

The applicant must have a diagnosis of intellectual disability with concurrent substantial deficits manifested prior to age 22 or a related condition which constitutes a severe and chronic disability with concurrent substantial deficits manifested prior to age 22.

Examples of related conditions which, if severe and chronic in nature, may make an individual eligible for the I/DD Waiver Program include but are not limited to, the following:

- Autism:
- Traumatic brain injury;
- Cerebral Palsy;
- Spina Bifida; and
- Any condition, other than mental illness, found to be closely related to intellectual disability because this condition results in impairment of general intellectual functioning

or adaptive behavior similar to that of intellectually disabled persons, and requires services similar to those required for persons with intellectual disability.

Additionally, the applicant who has a diagnosis of intellectual disability or a severe related condition with associated concurrent adaptive deficits must meet the following requirements:

- Likely to continue indefinitely; and,
- Must have the presence of at least three substantial deficits out of the six identified major life areas listed in Section 513.6.2.2.

Functionality

The applicant must have substantial deficits in at least three of the six identified major life areas listed below:

- Self-care:
- Receptive or expressive language (communication);
- Learning (functional academics);
- Mobility;
- Self-direction; and,
- Capacity for independent living which includes the following six sub-domains: home living, social skills, employment, health and safety, community and leisure activities. At a minimum, three of these sub-domains must be substantially limited to meet the criteria in this major life area.

Substantial deficits are defined as standardized scores of three standard deviations below the mean or less than one percentile when derived from a normative sample that represents the general population of the United States, or the average range or equal to or below the 75th percentile when derived from intellectual disability (ID) normative populations when ID has been diagnosed and the scores are derived from a standardized measure of adaptive behavior. The scores submitted must be obtained from using an appropriate standardized test for measuring adaptive behavior that is administered and scored by an individual properly trained and credentialed to administer the test. The presence of substantial deficits must be supported not only by the relevant test scores, but also the narrative descriptions contained in the documentation submitted for review, i.e., psychological report, the IEP, Occupational Therapy evaluation, etc. if requested by the IP for review.

Active Treatment

Documentation must support that the applicant would benefit from continuous active treatment. Active treatment includes aggressive consistent implementation of a program of specialized and generic training, treatment, health services, and related services. Active treatment does not include services to maintain generally independent individuals who are able to function with little supervision or in the absence of a continuous active treatment program.

DISCUSSION

Pursuant to policy, an individual must meet the medical eligibility criteria of a diagnosis of Intellectual Disability or related condition, which constitutes a severe and chronic disability that manifested prior to age 22, the functionality criteria of at least three (3) substantial adaptive deficits out of the six (6) major life areas that manifested prior to age 22, the need for active treatment and a requirement of ICF/IID level of care to receive services under the I/DD Waiver Program.

The Respondent denied the Appellant's application as he did not meet the diagnostic criteria of an eligible diagnosis of an Intellectual Disability, or related condition, which is severe. The Appellant was diagnosed with Autism Spectrum Disorder, Level 1, in March 2021. Keri Linton, the Respondent's witness, testified that a rating of Level 1 indicates that the Appellant's Autism Spectrum Disorder is in the mild range. Ms. Linton noted that while Autism is a potentially eligible diagnosis for the I/DD Waiver Program, an individual must meet the severity criteria to meet the diagnostic criteria found in policy. Ms. Linton stated that a rating of Level 3 is required to meet the severity criteria. Additionally, Ms. Linton testified that there was no documentation submitted to confirm that the Appellant had been diagnosed with Autism prior to age 22, during the developmental period.

The Weschler Adult Intelligence Scale (WAIS) was administered to the Appellant during the psychological evaluation in March 2021. The Appellant's Intelligence Quotient (IQ) was measured to be 86, which is in the low-average range of intellectual functioning. The Wide Range Achievement Test (WRAT) that was administered indicated that the Appellant functioned in the low to average range academically.

to leave his father's home in January 2021, at which point he moved in with her. Ms. stated that because she is not a legal relative or legal guardian of the Appellant, she is unable to obtain medical or school records for the Appellant to document his Autism diagnosis from childhood.

Policy requires documentation of an eligible diagnosis of an Intellectual Disability, or related condition, that manifested prior to age 22 to meet medical eligibility for the I/DD Waiver Program. The Appellant, age 32, does not currently have a diagnosis of an Intellectual Disability and there was no documentation available to confirm an eligible diagnosis during the developmental period. The Appellant's current diagnosis of Autism Spectrum Disorder, Level 1 does not meet the severity criteria set forth in policy to meet the diagnostic criteria for I/DD Waiver services.

Whereas the Appellant does not meet the diagnostic criteria of a diagnosis of an Intellectual Disability, or related condition, which is severe and manifested prior to age 22, he does not meet the medical criteria to receive services under the I/DD Waiver Program.

CONCLUSIONS OF LAW

1) Pursuant to policy, an individual must meet the diagnostic criteria of a diagnosis of Intellectual Disability or related condition, which constitutes a severe and chronic disability that manifested prior to age 22.

- 2) The Appellant was diagnosed with Autism Spectrum Disorder, Level 1, which is considered to be in the mild range.
- 3) To meet the severity criteria for a related condition for medical eligibility, the Autism Spectrum Disorder rating must be a Level 3.
- 4) The Appellant did not meet the diagnostic criteria for services under the I/DD Waiver Program.

DECISION

It is the decision of the State Hearing Officer to **uphold** the decision of the Respondent to deny the Appellant's application for services under the I/DD Waiver Program.

ENTERED this 25th day of August 2021.

Kristi Logan Certified State Hearing Officer